

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)
Inventor(s): Bernard Santarsiero, et al.)
Application No.: 10/026,362)
Filed: December 21, 2001)
Title: METHOD FOR SCREENING)
MICROCRYSTALLIZATIONS)
FOR CRYSTAL FORMATION)

Art Unit: 1754

Examiner: Yelena Gakh

Mail Stop Small Entity Status
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Mail Stop Small Entity Status, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 1, 2005.

07/08/2005 WABDEL1 00000052 232415 10026362

01 FC:1001 155.00 DA 635.00 DP
02 FC:1051 130.00 DA
03 FC:1252 450.00 DA


Howard M. Peters (Reg. No. 29,202)

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS
(37 C.F.R. § 1.27(g)(2))

Sir:

1. Applicant asserted small entity status in this application on May 7, 2001 (on filing).
 - payment of the basic filing national fee as a small entity (37 C.F.R. § 1.27 (c)(3))
 - submission of a written assertion of small entity status (37 C.F.R. § 1.27 (c)(1))
2. Applicant notified the Office, in accordance with the requirements of 37 C.F.R. § 1.27 (g)(2), that it no longer has status as a small entity on May 27, 2004.

07/08/2005 WABDEL1 00000053 161331 10026362

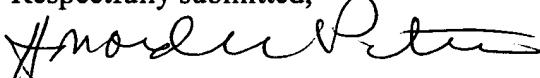
01 FC:1201 600.00 DA
02 FC:1202 750.00 DA

DA
JMW

3. Applicants' undersigned attorney has reviewed additional documents of the client and believes the small entity status changed on or about Feb. 3, 2000.
4. Applicant notifies the office again, in accordance with the requirements of 37 CFR. §127(g)(2) that it no longer has small entity status as of Feb. 3, 2000.
5. Thus additional fees due have been determined to be in the amount of \$635.00 and documents can be provided if requested.
6. This error occurred as an innocent oversight without deception or intent.
7. Applicants assert this voluntary action and payment of fees will overcome any problems.

If additional fees are required for the filing of these documents, the Commissioner of hereby authorized to charge or credit an overpayment to Deposit Account No. 16-1331.

Respectfully submitted,



Date: July 1, 2005

Howard M. Peters (Reg. No. 29,202)
Attorney of Record
 Person authorized to sign on behalf of assignee
PETERS, VERNY, JONES & SCHMITT, L.L.P.
425 Sherman Avenue, Suite 230
Palo Alto, CA 94306
Tel: (650) 324-1677 Fax: (650) 324-1678
Customer No.: 23308

Assignee: The Regents of The University of California
Office of Technology Transfer
University of California
1111 Franklin Street, Fifth Floor
Oakland, CA 94607-5200

Submitted earlier Assignment recorded in USPTO on May 31, 2002 for USSN 10/026,362, filed December 21, 2001 at Reels/Frames 012996/0245, 012996/0868, 013001/0358, 013001/0441, 013001/0360, 013001/0356, and 013001/0379

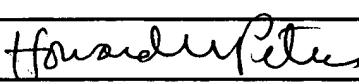
&

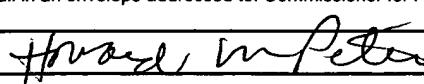
Assignment recorded in USPTO on June 12, 2000 for parent USSN 09/336,314, filed June 18, 1999 at Reel 010872, Frame 0035

DA 5
S

TRANSMITTAL FORM		Application Number	10/026,362
(to be used for all correspondence after initial filing)		Filing Date	12/21/2001
		First Named Inventor	Bernard D. Santarsiero
		Art Unit	1754
		Examiner Name	Yelena Gakh
Total Number of Pages in This Submission	3	Attorney Docket Number	2960.54-3

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> <input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Notification of Loss of Entitlement to Small Entity Status; Check for \$635.00; Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	PETERS, VERNY, JONES, SCHMITT & ASTON, LLP		
Signature			
Printed name	Howard M. Peters		
Date	07/01/2005	Reg. No.	29,202

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the			
Signature			
Typed or printed name	Howard M. Peters	Date	07/01/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.